



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re:

Applicants: Tanner et al.

Atty. Docket No.: RPS6097-US

Serial No.: 10/016,352

Examiner: Leyson, Joseph

Filing Date: November 2, 2001

Art Unit: 1722

Entitled: APPARATUS AND METHOD FOR MANUFACTURING ENCAPSULATED PRODUCTS

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

Date of Deposit: 3-10-04

I hereby certify that this Assignment cover sheet, recordation cover sheet and Assignment are being deposited with the U.S. Postal Service, as first class mail, postage prepaid, to: Commissioner for Patents, MAIL STOP – IDS (FEE), P.O. Box 1450, Alexandria, Virginia 22313-1450.

Jennifer Warner

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

As authorized and encouraged under 37 C.F.R. §§1.97-1.98 and the provisions of MPEP §§609 and 707.05(b), Applicants herewith certain patent references, publications and/or other information which the Patent and Trademark Office may wish to consider in examining the above-identified patent application. With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner to supplement the IDS filed August 21, 2002.

These additional references are reported from a search conducted by the PCT dated February 13, 2004.

This Supplemental IDS is being filed before the mailing date of a final action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application and is accompanied by an Authorization to Charge Deposit Account 50-0256 in the sum of \$180.00.

03/15/2004 HUUONG1 00000154 500256 10016352

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Applicants are submitting this art because they recognize their duty to disclose pursuant to 37 C.F.R. §1.56. However, submission of this art and Statement is not an admission that any item identified contains matter that anticipates the invention or that renders the invention obvious to a person of ordinary skill in the art. Further, Applicants believe that none of the art submitted herewith, alone or in proper combination, discloses, teaches or suggests the invention claimed by Applicants.

No representation is made that a reference constitutes prior art within the meaning of 35 U.S.C. §102 and §103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

U.S. PATENT DOCUMENTS

U.S. PATENT NUMBER	INVENTOR(S)
3,010,147	Davies et al.
3,680,997	Dukert et al.
4,289,718	Vollbrecht et al.
4,631,016	Hay, II
4,919,308	Majkrzak
5,520,958	Doesburg et al.
5,660,922	Herridge et al.
5,833,904	Muskalla et al.

FOREIGN PATENT DOCUMENTS

None

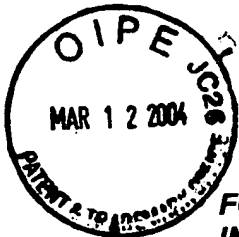
OTHER DOCUMENTS

None

Respectfully submitted,

Date: March 9, 2004

By: Donald O. Nickey
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**FORM PTO-1449 TO BE FILED WITH THE
INFORMATION DISCLOSURE STATEMENT**

U.S. Department of Commerce
Patent and Trademark Office

Docket No.: RPS6097-US Serial No.: 10/016,352

Tanner et al.
Applicant

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

November 2, 2001
Filing Date

1722
Group Art Unit

Joseph Leyson
Examiner's Name

U.S. PATENT DOCUMENTS

<u>Examiner's Initials</u>	<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>	<u>Class/Sub-class</u>
	3,010,147	Nov. 28, 1961	Davies et al.	18-8
	3,680,997	Aug. 1, 1972	Dukert et al.	425/381
	4,289,718	Sep. 15, 1981	Vollbrecht et al.	264/50
	4,631,016	Dec. 23, 1986	Hay, et al.	425/224
	4,919,308	Apr. 24, 1990	Majkrzak	222/146
	5,520,958	May 18, 1996	Doesburg et al.	427/316
	5,660,922	Aug. 26, 1997	Herridge et al.	428/214
	5,833,904	Nov. 10, 1998	Muskalla et al.	264/178

FOREIGN PATENT DOCUMENTS

None

OTHER DOCUMENTS

None

Examiner: _____ Date Considered: _____

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

The identification of any document herein is not intended to be, and should not be understood as being an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given document may have a later effective date than at first seems apparent or the document may have an effective date which can be antedated. The "prior art" status of any document is a matter to be resolved during prosecution.



Imaye 1722

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-03)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/016,352	11/02/2001
Filing Date	10/28/2002	INTOLIAW (JW)
First Named Inventor	Keith Tanner	
Examiner Name	Joseph Leyson	
Art Unit	1722	
Attorney Docket No.	RPS6097-US	

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-0256

Cardinal Health, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type)	Donald O. Nickey	Registration No. (Attorney/Agent)	29,092	Telephone	614-757-5542
Signature	Donald O. Nickey	Date	March 10, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.